

**Electronic Filing System (EFS) Data**  
**Electronic Patent Application Submission**  
**USPTO Use Only**

EFS ID: 13290  
Application ID: 09683338  
Title of Invention: An improved security code  
activated access control system.  
First Named Inventor: David Yager  
Domestic/Foreign Application: Domestic Application  
Filing Date: null  
Effective Receipt Date: 2001-12-16  
Submission Type: Utility Patent Filing  
Filing Type: new-utility  
Confirmation Number: 0  
Attorney Docket Number: 121501  
Digital Certificate Holder: cn=David Frank Yager, ou=Independent Inventors, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: lZmv8bXowEA0xZnmdAjW9g==  
Total Fees Authorized: \$370.0  
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Credit Card Number: \*\*\*\*\*2584  
Expiration Date: 06012002  
Card Holder Name: DAVID F YAGER  
RAM User ID: EFSPROD  
RAM Accounting Date: 2001-12-16  
RAM Sequence Number: 375159  
RAM Payment Status: RAM success  
Postal Code: 98026



# TRANSMITTAL FORM



Electronic Version 1.0.3

Stylesheet Version: 1.0

Attorney Docket  
Number:

121501

Submission Type: Utility Patent  
Filing

## **An improved security code activated access control system.**

First Named Inventor: Mr. David Frank Yager

### SUBMITTED BY

Name:	Mr. David Frank Yager
Electronic Signature Mark: d.f.yager	Date Signed: 20011215

Name:	Mr. Michael A. Moratti
Electronic Signature Mark: m.a.moratti	Date Signed: 20011215

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*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

### Attached Files:

declaration	Declaration.pg1.TIF
declaration	Declaration.pg2.TIF

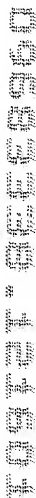
fee-transmittal  
bibd-transmittal  
specification

accesscontrolfee.xml  
accesscontrolapds.xml  
accesscontrolpa.xml

**Attached Image File(s):**

Declaration.pg1.TIF

Declaration.pg2.TIF



[illegible]

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☒ Declaration Submitted with Initial Filing **OR** ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number

First Named Inventor

DAVID F. YAGER

**COMPLETE IF KNOWN**

Application Number

/

Filing Date

Art Unit

Examiner Name

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

AN IMPROVED SECURITY CODE ACTIVATED ACCESS  
CONTROL SYSTEM.

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number or Bar Code Label **26008** OR ☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name  
(first and middle [if any]) **DAVID FRANK**

Family Name  
or Surname **YAGER**

Inventor's  
Signature *David Frank*

Date **12-15-01**

Residence: City **EDMONDS**

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ZIP **98026**

Country **USA**

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name  
(first and middle [if any]) **MICHAEL ARTHUR**

Family Name  
or Surname **MORATTI**

Inventor's  
Signature *Michael A. Moratti*

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State **WA**

ZIP **98346**

Country **USA**

☐ Additional inventors are being named on the \_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

# FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Small Entity

Independent Inventor

**TOTAL FEES AUTHORIZED: \$ 370**

## BANK (CREDIT) CARD INFORMATION:

Credit Card Number: 2584  
Expiration Date: 20020601  
Authorized Name: DAVID F YAGER  
Billing Address: 98026

## BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	201	\$ 370

Subtotal For Basic Filing Fee: \$ 370